

Database preservation

DPC training course

Introduction and basic features
Day 1, morning

Trainers: Luis Faria, Miguel Guimarães



Agenda for this morning

- 10:00 Welcome and introductions by Jenny
- 10:20 Database preservation archival workflows by Luís
- 10:45 Introduction to the SIARD format by Luís
- 11:10 Break
- 11:30 Tools for database preservation by Miguel
- 12:00 Case study: Testing SIARD 2.0 by Brett Abrams, NARA
- 12:30 Questions and discussion
- 13:00 Lunch





Databases

The **information** that supports institutions and businesses is usually **centralized on databases**. This information is of **great value** and needs to be **preserved for decades**due to strategic and legal reasons.

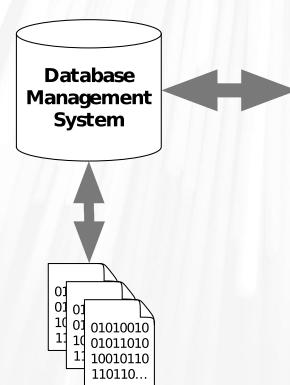


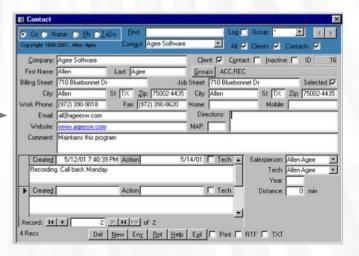
Databases

The systems that have this information are usually complex with **many software components** playing their part for supporting the **business-logic**, and the **submission** and **presentation** interfaces.

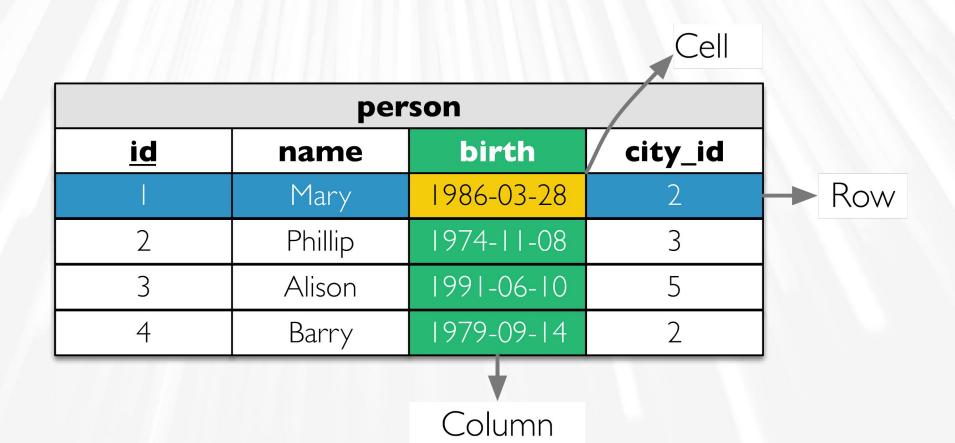
The information is usually laid out in an **organization specifically optimized for the database** and original business objectives, i.e. **not in a user-friendly** organization.







Application



person			
<u>id</u>	name	birth	city_id
Q	Mary	1986-03-28	5
2	Phillip	NULL	/6

city			
<u>id</u>	name	mayor	country_id
5	Payne Springs		(6)
6	Rosenhayn	NULL	6

country		
<u>id</u>	name	
16	United States	



Information in a relational database

Information in tables

Column data types

Relations and constraints

Projections (views)

Behaviour (triggers and routines)

Other (users, permissions, etc.)



System information not in the relational database

External resources (e.g. files in filesystem)

Submission forms

Presentation interfaces

Application logic and queries



Preservation strategies

Hardware and software museums

Emulation

File format migration

Encapsulation



Hardware and software museums

Preserve the whole technology stack needed to render the original content.

® reproduction accuracy	⊖ great difficulty to maintain	
	⊖ restrictions on the access to information	
	need for users to understand how to operate long gone systems	



Emulation

Use of a software system that allows to emulate the behaviour of an older hardware and/or software platform within a newer one.

reproduction accuracy	⊖ difficult to maintain	
no need to maintain hardware	⊖ difficult to set up	
	 need for users to understand how to operate long gone systems 	



File format migration

Transfer of digital information from one hardware and software configuration into another.

Convert information encoded in a file format, tied into an obsolete technology stack, into another more current or better suited for long term preservation.

easier to use and reuse information	 possible data loss during conversion (can be mitigated by quality assurance) 	
no need to maintain hardware	⊖ might need to migrate again in the future	
no need to maintain software		



Encapsulation

Keep files together with all necessary documentation needed for future development of emulators, file format migrators or software renderers.

⊕ postpone actions that can be costly	∘ may hinder timely access to information	
⊕ no need to maintain hardware	⊖ difficult to gather documentation of complex or closed file formats	
	 difficult to ensure quality and completeness without hindsight 	



The problem with databases

Every vendor has his data types and export formats

It is rare that information exported from one vendor's system works on another

Sometimes doesn't work on different versions of the same product

Need for a vendor-agnostic format based on standards



Preservation format criteria

Ubiquity	Stability	Complexity
Support	Ease of identification and validation	Interoperability
Disclosure	Intellectual Property Rights	Viability
Documentation quality	Metadata support	Re-usability

https://www.nationalarchives.gov.uk/documents/selecting-file-formats.pdf



SIARD: Software Independent Archiving of Relational Databases

Database preservation format

More details later on

Based on international standards

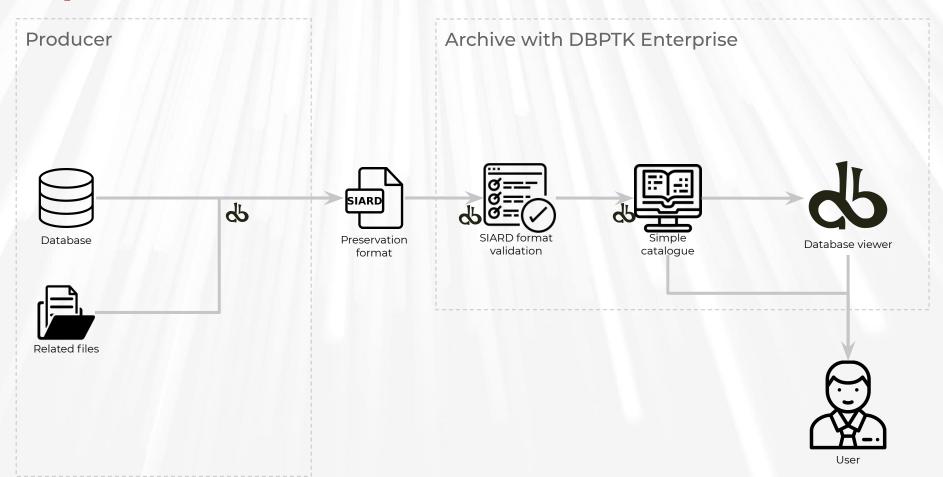
For database data, structure and behaviour

Swiss national standard eCH-0165

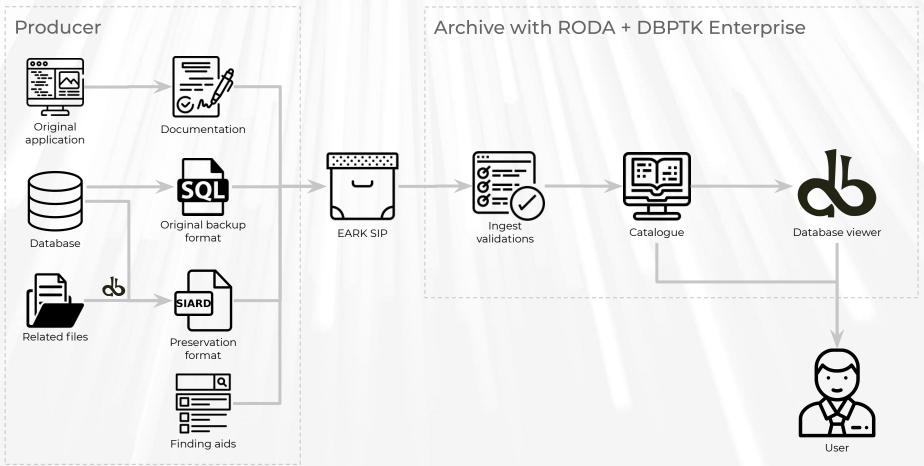
Now managed by DILCIS board and the EU eArchiving building block

https://dilcis.eu/content-types/siard https://ec.europa.eu/cefdigital/wiki/display/CEFDIGITAL/eArchiving

Simple database archive flow



Full database archival flow



Icons from www.flaticon.com



Preserving what is not in SIARD

Use original tools to create backups in original backup format (migration)

Complete hard disk or virtual machine snapshot (enable future emulation)

Image and video recordings of submission and presentation interfaces (documentation)

Interview original developers, operators or users (documentation)

Expert analysis report (documentation)



How to select the strategy?

User community requirements

Budget

Staff technical skills

NOTE: a different strategy can be taken for each part of the information.



Significant properties

The characteristics of digital objects that must be preserved over time in order to ensure the continued accessibility, usability, and meaning of the objects, and their capacity to be accepted as evidence of what they purport to record.

The **significance** of properties **may be different** for each stakeholder, therefore their definition must be done in light of the **institutional objectives**.

Significant properties of a database

Property	Significant?	Preservation strategy
Information in tables	?	File format migration
Column data types	?	File format migration
Relations and constraints	?	File format migration
Projections (views)	?	File format migration, encapsulation
Behaviour (triggers and routines)	?	Encapsulation
Other (users, permissions, etc.)	?	Encapsulation
External resources (e.g. files in filesystem)	?	File format migration
Submission forms	?	Encapsulation
Presentation interfaces	?	Encapsulation
Application logic and queries	?	Encapsulation



Authenticity

Capability to prove (or vouch) that the digital object is according to the original.

The **credibility of the digital object** authenticity is endowed by the **trustworthiness** of the **digital repository and the institution** that supports it. This trustworthiness is a consequence of the institution **honourability** and **credibility** and is further improved on the repository by having **transparency on the mission, policies and procedures** in place for digital preservation, being **rigorous on their application** and being able to **prove, based on evidence**, that the defined policies and procedures are correctly followed.



Preservation policy and planning

Processes that define the **mission**, **drivers**, **objectives** and decision-making **processes** for the preservation of digital information so that **goals are met** with **minimal** operational **costs** and **maximal** (expected) content **value**.

- 1. Determine general and specific **needs**
- 2. Establish priorities
- 3. Identify **resources** for implementation
- 4. Define course of action and agenda
- 5. Document **compromises** (e.g. actions not taken)





SIARD 2

Open format for archival of relational databases

Software Independent Archiving of Relational Databases

Joint effort from Swiss Federal Archives, E-ARK and eCH (eGovernment standards in Switzerland)

Based on international standards

Unicode, SQL:2008, XML, XML Schema, URI

Swiss national **standard** eCH-0165

Now managed by DILCIS board and the EU eArchiving building block



SIARD principles

Preserves information, not layout or interaction

Application and business-logic are not preserved

Preserves primary data, not code

Stored procedures, functions and other database features are documented by not transformed

Preserve tables with their relations, not dynamic data

Views are not preserved in SIARD, only documented

But views can be materialized as tables



Technical details

Data archived into a single compressed file (ZIP)

Optionally, it can also be in a folder structure

Folder "header" as information on database structure, data types, and behaviour

Kept in a single XML file

Folder "content" has the data from tables

One XML file per table

Binary objects can be stored in different ways

Inline, inside, outside



```
database.siard
    header/
        siardversion/
       └ 2.1/
      - metadata.xml
      - metadata.xsd
    content/
    └─ schema1/
          - table1
              — table1.xml
                table1.xsd
               lob5
                └─ record1.bin
            table2
                table2.xml
              - table2.xsd
```



```
database.siard
    header/
        siardversion/
                          Version statement, so it can be easily
        <u></u> 2.1/
                          detected by file format identifiers
        metadata.xml
        metadata.xsd
    content/
    └─ schema1/
            table1
                 table1.xml
                 table1.xsd
                 lob5
                 └─ record1.bin
            table2
                 table2.xml
                table2.xsd
```



```
database.siard
    header/
        siardversion/
        <u></u> 2.1/
       metadata.xml
                          Information about the structure and
      metadata.xsd
                          behaviour of the database
    content/
    └─ schema1/
            table1
                table1.xml
                table1.xsd
                lob5
                 └─ record1.bin
            table2
                table2.xml
                table2.xsd
```



```
database.siard
   header/
        siardversion/
        <u></u> 2.1/
       metadata.xml
      metadata.xsd
                         Embed XML schema to validate the
   content/
                         metadata XML.
    └─ schema1/
           table1
                table1.xml
                table1.xsd
                lob5
                └─ record1.bin
            table2
                table2.xml
                table2.xsd
```



```
database.siard
    header/
        siardversion/
        <u></u> 2.1/
      - metadata.xml
      - metadata.xsd
    content/
    └─ schema1/
           - table1
                table1.xml
                                Table content
                table1.xsd
                lob5
                 └─ record1.bin
            table2
                table2.xml
                table2.xsd
```



```
database.siard
    header/
        siardversion/
        <u></u> 2.1/
        metadata.xml
       metadata.xsd
    content/
    └─ schema1/
            table1
                table1.xml
                table1.xsd
                               XML schema to validate table content
                lob5
                               according to column data types
                 └─ record1.b
            table2
                table2.xml
                table2.xsd
```



Internal structure

```
database.siard
    header/
        siardversion/
        <u></u> 2.1/
        metadata.xml
       - metadata.xsd
    content/
    └─ schema1/
            table1
                 table1.xml
                 table1.xsd
                 lob5
                                    Binary and large text objects that can be
                 └─ record1.bin
                                    kept inside the archive
            table2
                 table2.xml
                table2.xsd
```

```
<siardArchive xmlns="http://www.bar.admin.ch/xmlns/siard/2/metadata.xsd"</pre>
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
version="2.1"
xsi:schemaLocation="http://www.bar.admin.ch/xmlns/siard/2/metadata.xsd">
   <dbname>sakila</dbname>
   <description>Example database from MySQL</description>
   <archiver>Luis Faria</archiver>
   <archiverContact>lfaria@keep.pt</archiverContact>
   <dataOwner>MySQL Team</dataOwner>
   <dataOriginTimespan>2000-2010</dataOriginTimespan>
   <lobFolder>content</lobFolder>
   oducerApplication>
     Database Preservation Toolkit (version 2.8.2)
   ducerApplication>
   <archivalDate>2020-07-16+00:00</archivalDate>
   <clientMachine>unspecified</clientMachine>
   <databaseProduct>MySQL 5.6.49</databaseProduct>
```

Metadata about the context and archiving process

```
<name>address</name>
   <folder>table2</folder>
   <columns>
       <column>
           <name>address_id</name>
           <type>SMALLINT</type>
                                                               Metadata about the database structure
           <typeOriginal>SMALLINT UNSIGNED</typeOriginal>
           <nullable>false/nullable>
       </column>
       <column>
           <name>address</name>
           <type>CHARACTER VARYING(50)</type>
           <typeOriginal>VARCHAR</typeOriginal>
           <nullable>false/nullable>
       </column>
       <column>
           <name>address2</name>
           <type>CHARACTER VARYING(50)</type>
           <typeOriginal>VARCHAR</typeOriginal>
           <nullable>true/nullable>
       </column>
       <column>
           <name>district</name>
           <type>CHARACTER VARYING(20)</type>
           <typeOriginal>VARCHAR</typeOriginal>
           <nullable>false</nullable>
       </column>
       <column>
           <name>city_id</name>
           <type>SMALLINT</type>
```

```
<name>PRIMARY</name>
  <column>customer_id</column>
<foreignKeys>
   <foreignKey>
      <name>fk_customer_address
      <referencedSchema>sakila</referencedSchema>
      <referencedTable>address</referencedTable>
      <reference>
          <column>address_id</column>
          <referenced>address_id</referenced>
      </reference>
      <deleteAction>NO ACTION</deleteAction>
      <updateAction>CASCADE</updateAction>
   </foreignKey>
</foreignKeys>
<candidateKeys>
   <candidateKey>
      <name>PRIMARY</name>
      <column>customer_id</column>
  </candidateKey>
</candidateKeys>
<triggers>
   <trigger>
      <name>customer_create_date
      <actionTime>BEFORE</actionTime>
      <triggerEvent>INSERT</triggerEvent>
      <triggeredAction>SET NEW.create_date = NOW()</triggeredAction>
   </trigger>
</triggers>
```

behaviour

Metadata about the constraints and

```
<view>
                                                                     Metadata about views
  <name>staff_list</name>
  <queryOriginal>CREATE ALGORITHM=UNDEFINED DEFINER=`root`@`%` SQL
SECURITY DEFINER VIEW `staff_list` AS select `s`.`staff_id` AS
`ID`,concat(`s`.`first_name`,_utf8' ',`s`.`last_name`)
AS `name`,`a`.`address` AS `address`,`a`.`postal_code` AS `zip
code`, `a`.`phone` AS `phone`, `city`.`city` AS `city`, `country`.`country`
AS `country`,`s`.`store_id` AS `SID` from (((`staff` `s` join `address`
`a` on((`s`.`address_id` = `a`.`address_id`))) join `city`
on((`a`.`city_id` = `city`.`city_id`))) join `country`
on((`city`.`country_id` = `country`.`country_id`)))
   <columns>
      <column>
          <name>ID</name>
          <type>SMALLINT</type>
          <typeOriginal>TINYINT UNSIGNED</typeOriginal>
          <nullable>false/nullable>
           <defaultValue>0</defaultValue>
      </column>
      <column>
          <name>name</name>
           <type>CHARACTER VARYING(91)</type>
          <typeOriginal>VARCHAR</typeOriginal>
           <nullable>true/nullable>
      </column>
      <column>
          <name>address</name>
          <type>CHARACTER VARYING(50)</type>
           <typeOriginal>VARCHAR</typeOriginal>
          <nullable>false</nullable>
      </column>
```

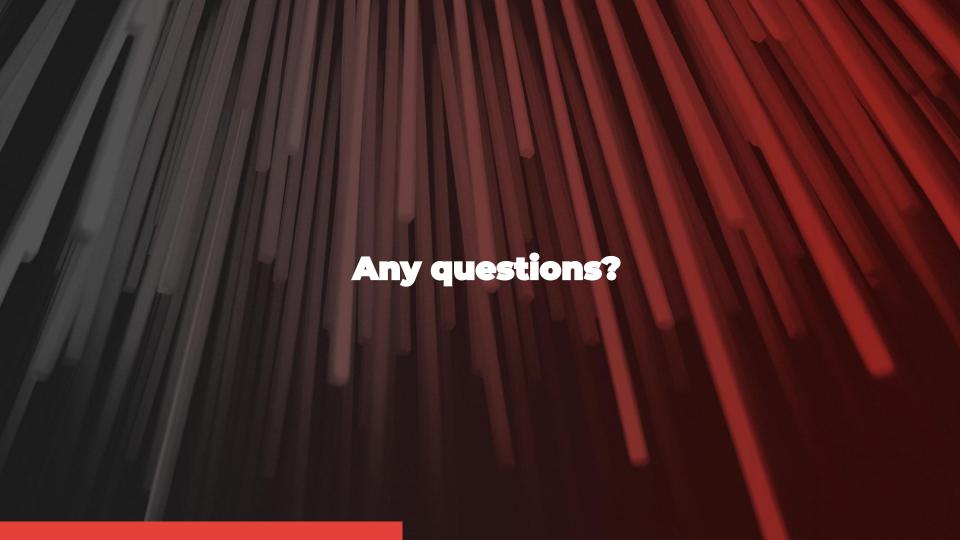
```
<routine>
                                                                     Metadata about routines
   <specificName>sakila.film_in_stock</specificName>
   <name>film_in_stock</name>
   <body>
       CREATE DEFINER=`root`@`%` PROCEDURE `film_in_stock`(IN p_film_id
INT, IN p_store_id INT, OUT p_film_count INT)
       READS SQL DATA
       BEGIN
       SELECT inventory_id
       FROM inventory
       WHERE film_id = p_film_id
       AND store_id = p_store_id
       AND inventory_in_stock(inventory_id);
       SELECT FOUND_ROWS() INTO p_film_count;
       END
   </body>
</routine>
```

```
<users>
  <user>
       <name>root@localhost</name>
  </user>
  <user>
       <name>admin2@%</name>
  </user>
   <user>
       <name>admin1@%</name>
   </user>
   <user>
       <name>root@%</name>
   </user>
   <user>
       <name>admin3@%</name>
  </user>
   <user>
       <name>admin4@%</name>
  </user>
  <user>
       <name>admin5@%</name>
  </user>
</users>
```

Metadata about users

```
<table
xsi:schemaLocation="http://www.admin.ch/xmlns/siard/2/schema1/table2.xsd
table2.xsd" xmlns="http://www.admin.ch/xmlns/siard/2/schema1/table2.xsd"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
   <row>
       <c1>1</c1>
       <c2>47 MySakila Drive</c2>
       <c4>Alberta</c4>
                                           Table content in standard formats
       <c5>300</c5>
       <c6></c6>
       < c7 > < / c7 >
       <c8>2014-09-25T21:30:27.000000Z</c8>
   </row>
   <row>
       <c1>2</c1>
       <c2>28 MySQL Boulevard</c2>
       <c4>QLD</c4>
       <c5>576</c5>
       <c6></c6>
       <c7></c7>
       <c8>2014-09-25T21:30:09.000000Z</c8>
   </row>
   <row>
       <c1>3</c1>
       <c2>23 Workhaven Lane</c2>
       <c4>Alberta</c4>
       <c5>300</c5>
       <c6></c6>
       <c7>14033335568</c7>
       <c8>2014-09-25T21:30:27.000000Z</c8>
```

<?xml version="1.0" encoding="UTF-8"?>



20-min break

Back at 11:30

GMT+1



DBPTK Database Preservation Toolkit

Set of tools to store relational databases in a standard archival format.



https://database-preservation.com





DBPTK Desktop

Desktop application to save database to preservation format, validate it, and browse and search the content



DBPTK Enterprise

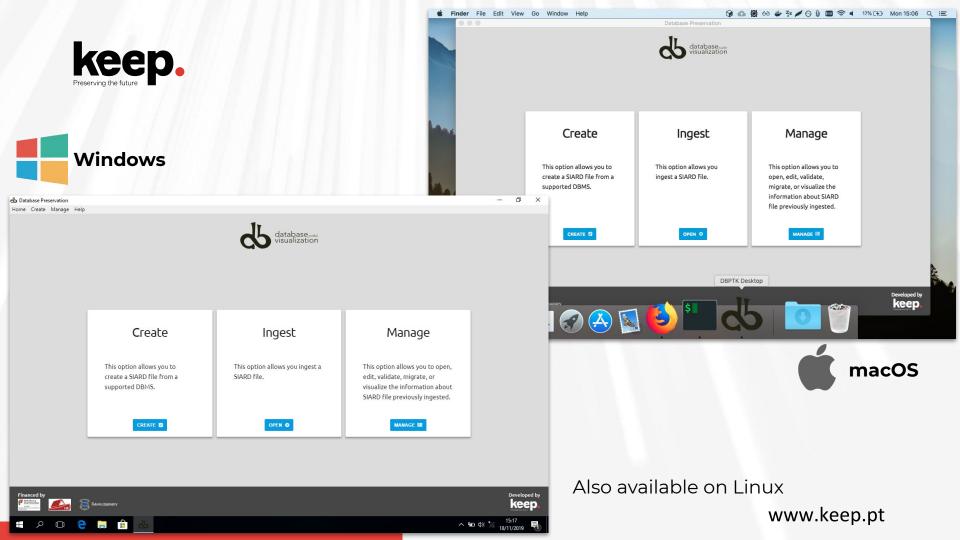
Web application to browse and search on the content of multiple large preserved databases



DBPTK Developer

A command-line tool and development library for automation and system integration

DBPTK Desktop Basic features





SIARD creation

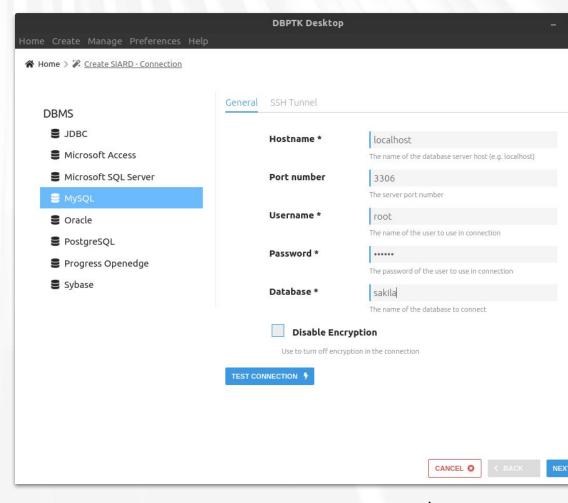
Export database to a preservation format

Connect to a local or remote database and save all content into a preservation format like SIARD

Test connection will diagnose most common problems and provide you with helpful hints to solve them

Supported DBMS:

Microsoft Access Microsoft SQL Server MySQL / MariaDB Oracle PostgreSQL Progress Openedge Sybase





Migration report

Detailed report of migration changes and losses

All export and selection parameters are presented.

All column data types mapping to standard types are recorded.

All compromises are documented.

Database Preservation Toolkit (version 2.8.2) - Conversion Report

Parameters

Import module: mysql

- hostname = dpc.database-preservation.com
- database = sakila
- username = mquimaraes
- password =
- port-number = 3306
- · disable-encryption = false

Export module: siard-2

- version = V2_1
- file = /home/mguimaraes/Desktop/sakila-dpc.siard
- compress = true
- pretty-xml = false
- external-lobs = false
- external-lobs-per-folder = 1000
- external-lobs-folder-size = 0
- digest = SHA-256
- font-case = lowercase

Date: 2020-07-22

Details

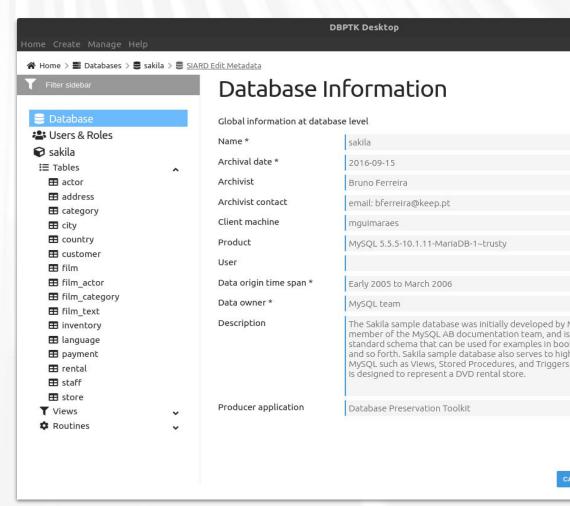
- Type conversion in import module: in sakila.address.address (format: schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(50)
- Type conversion in import module: in sakila.address.district (format schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(20)
- Type conversion in import module: in sakila.city.city (format: schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(50)
- Type conversion in import module: in sakila.country.country (format: schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(50)
- Type conversion in import module: in sakila.actor.actor_id (format schema.table.column) has original type SMALLINT UNSIGNED and was converted to
 the standard type SMALLINT
- Type conversion in import module: in sakila.actor.first_name (format schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(45)
- Type conversion in import module: in sakila.actor.last_name (format: schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(45)
- . Information: check constraints is not yet supported for MySQL. But support may be added in the future
- Type conversion in import module: in sakila.address.address_id (format schema.table.column) has original type SMALLINT UNSIGNED and was converted to the standard type SMALLINT
- Type conversion in import module: in sakila.address.address (format: schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(50)
- Type conversion in import module: in sakila.address.address2 (format: schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(50)
- Type conversion in import module: in sakila.address.district (format schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(20)
- Type conversion in import module: in sakila.address.city_id (format schema.table.column) has original type SMALLINT UNSIGNED and was converted
 to the standard type SMALLINT
- Type conversion in import module: in sakila.address.postal_code (format: schema.table.column) has original type VARCHAR and was converted to the standard type CHARACTER VARYING(10)



Edit SIARD metadata

Enrich archived database with descriptions

Add descriptions to database, tables and columns to better understand its contents



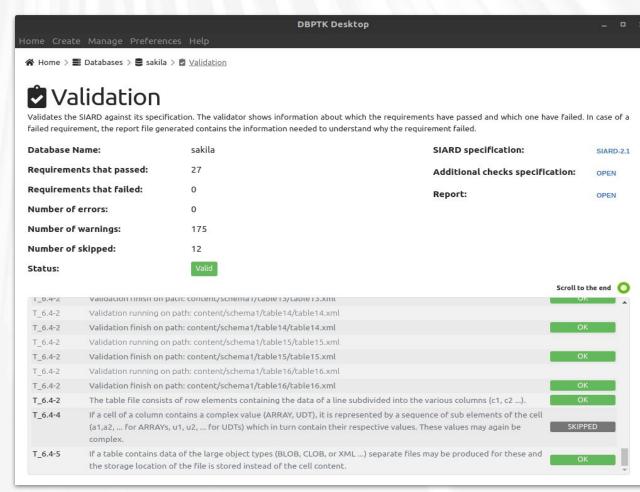
www.keep.pt



SIARD validation

Validate archived database

Validate SIARD against specification plus many additional checks for a thorough validation



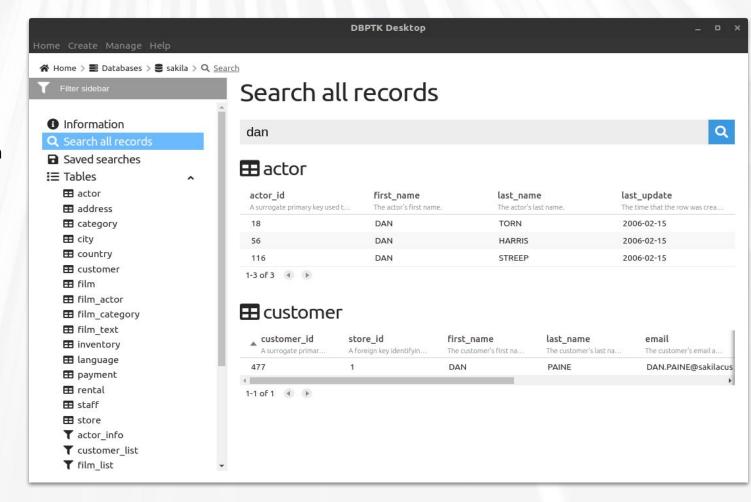


Search records

Browse and search database content

Google-like search on the database content.

Drill down on specific tables and do advanced search for specific fields to find exactly what you are looking for.

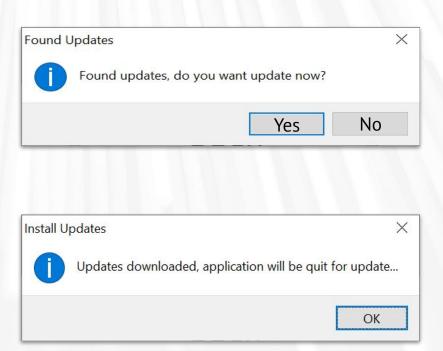




Auto-update

Automatic check of updates

Stay up-to-date with automatic update check on startup and installation of new versions.



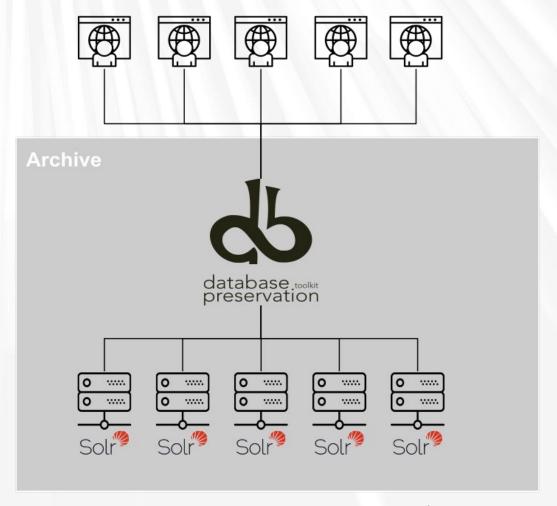
DBPTK Enterprise Basic features



Enterprise architecture

For large institutions with many databases and users

A web application that can be horizontally scaled to support many very large databases being accessed by many users

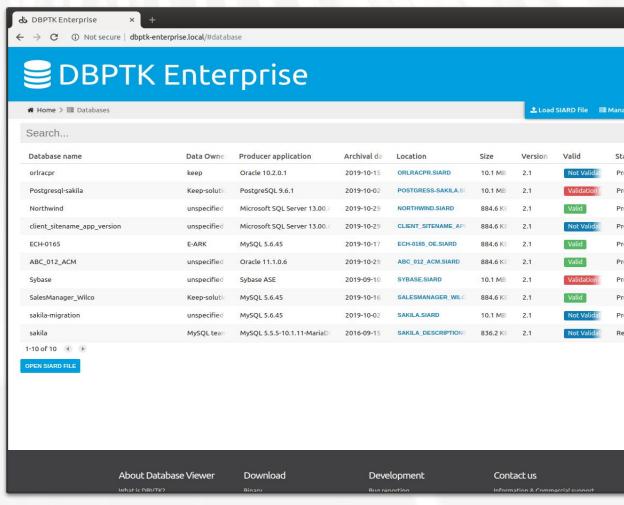




Manage multiple databases

Single system, multiple databases

Search through the databases, manage their status, enrich their metadata, validate them, make them ready for users to search.



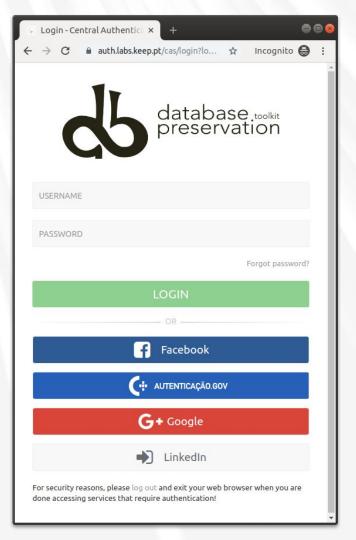


Single sign-on

Support for multiple protocols

LDAP, Active Directory, Database, SAML, ADFS, OAuth2, OpenID, Google, Facebook, Twitter, FIDO U2F, YubiKey, Google Authenticator, Authy, etc.

Supports internal authorization definition or configurable external authorization

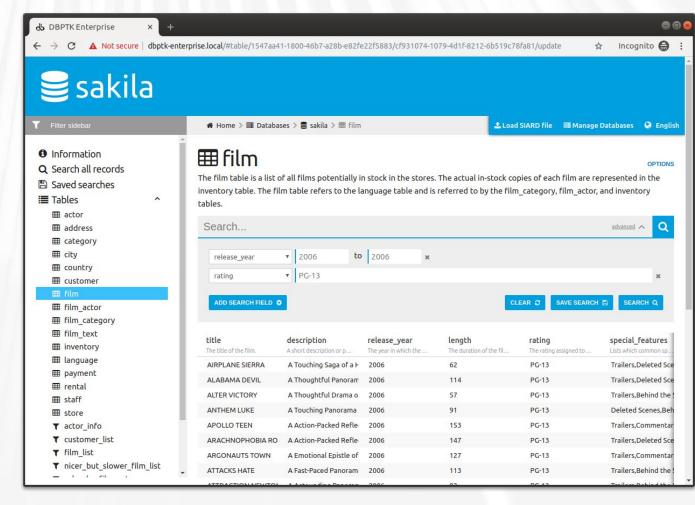




Browse and search

Allow users to access database content on the Web

Allow them to search on a prepared, user-friendly and anonymized database content

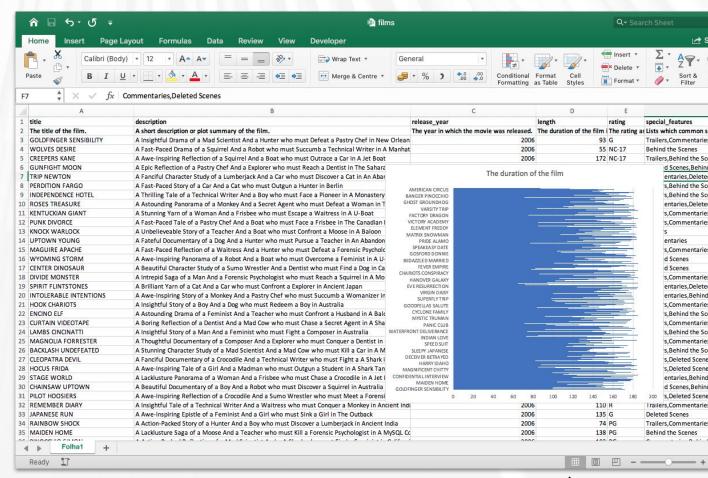




Export features

Export data into tabular data

Allow users to save search results in Microsoft Excel or other spreadsheet software format for easy analytics and diagrams

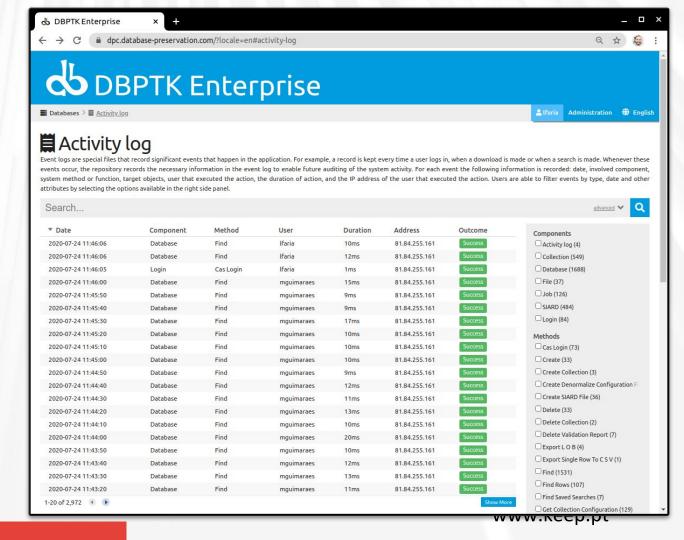




Activity logAudit every access

Who has done what, when and from where.

Requirement for ISO 16363 certification.





Multiple languages supported

Interface translated into:

English, German, Estonian, Czech, Portuguese

Search stemming and stopwords support for:

English, Arabic, Bulgarian, Catalan, Czech, Danish, German, Greek, Spanish, Estonian, Basque, Persian, Finnish, French, Irish, Galician, Hindi, Hungarian, Armenian, Indonesian, Italian, Latvian, Dutch, Norwegian, Portuguese, Romanian, Russian, Swedish, Thai, Turkish, Japanese (using morphological analysis), CJK bigram (Chinese, Japanese, and Korean languages)

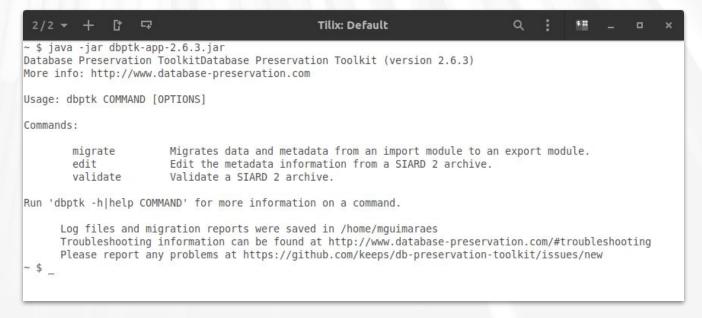




Command line interface

Automation of periodic preservation tasks

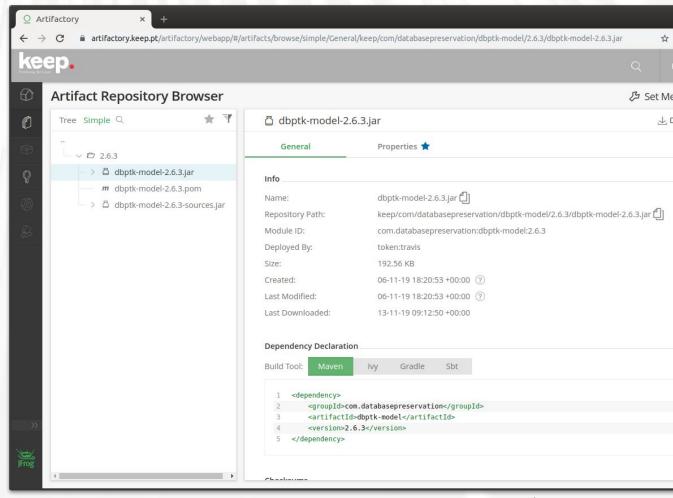
Command line interface allows easy automation of periodic tasks like saving database to preservation format, validating, and editing metadata.





Systems integrationJava library

Library to allow integration of production systems to directly use database preservation features.



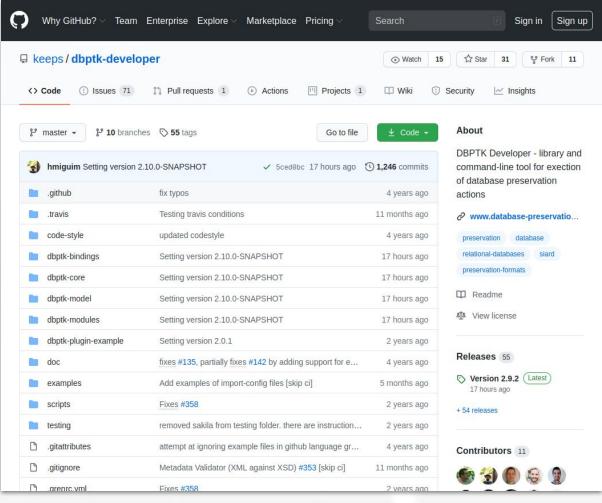
www.keep.pt



Open source

For custom development

Code base that allows custom development of new features or specia support for new or legacy database systems.



www.keep.pt



Case study: Testing SIARD 2.0

by Brett Abrams, NARA



Lunch Practical session starts at 14:00 GMT+1